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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

Complete if Known

Application Number 09/975,139  
Filing Date October 10, 2001  
First Named Inventor Volker Schellenberger, et al.  
Art Unit 1645  
Examiner Name Not Yet Assigned  
Attorney Docket Number 23623-7060

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CSM	1	US-5,565,332	10-15-96	Hoogenboom et al.	
	2	US-5,571,698	11-05-96	Ladner et al.	
	3	US-5,698,426	12-16-97	Huse	
	4	US-5,723,323	03-03-98	Kauffman et al.	
	5	US-5,830,721	11-03-98	Stemmer et al.	
	6	US-5,863,787	01-26-99	Chang et al.	
	7	US-5,922,545	07-13-99	Mattheakis et al.	
	8	US-6,093,573	07-25-00	Beamer et al.	
	9	US-6,107,059	08-22-00	Hart	
	10	US-6,114,149	09-05-00	Fry et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
CSM	11	WO 91/19813	12-26-91	The University of Colorado Foundation, Inc.		
	12	WO 92/05285	04-02-92	Fred Hutchinson Cancer Research Center		
	13	WO 92/14843	09-03-92	Gilead Sciences, Inc.		
	14	WO 00/46344 A3	08-10-00	Diversa Corporation		

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 2 of 3

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Application Number 09/975,139  
Filing Date October 10, 2001  
First Named Inventor Volker Schellenberger, et al.  
Art Unit 1645  
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Attorney Docket Number 23623-7060

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup>
	15	Search Report Dated February 26, 2002	
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup>
	38	TOMANDL, D. et al. "Optimizing doped libraries by using genetic algorithms" <i>J. Comp.-Aided Molec. Design</i> (1997) 11:29-38	
	39	KUNKEL, T.A. "Rapid and efficient site-specific mutagenesis without phenotypic selection" <i>PNAS USA</i> (January 1985) 82(2):488-492	
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Examiner's Signature	C. Muller		Date Considered November 10, 2002

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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